



FORM PTO-1449 (Modified)

ATTY. DOCKET NO. 24743-2301

SERIAL NO. 09/067,337

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION" DISCLOSURE **STATEMENT** 

**APPLICANT KOSTER** 

FILING DATE April 27, 1998

**GROUP** 1623

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#### U.S. PATENT DOCUMENTS

INITIAL			D	OCUM	IENT I	NUMB	ER		DATE	NAME	CLASS	SUB CLASS	FILING DATE
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No Date	G	Database WPI, Derwent Publications #199848, citing Japanese Patent No. 10251292.
No Date	Н	Database WPI, Derwent Publications #199612, citing Japanese Patent No. WO 9603148.
DW.	ı	International Search Report, PCT/US 99/08939, 04/26/99.
0	J	Konig, B., et al., Tetrahedron Letters, 39(16):2307-2310 (1998).
	К	Ritzen, A., et al., Tetrahedron: Asymmetry, 9(19):3491-3496 (1998).
	L	Shchepinov et al., Nucleic Acid Research, 25(22):4447-4454 (1997).
<b>\$</b>	М	Shinozuka, K., et al., Journal of The Chemical Society, Chemical Communications, 11:1377-1378 (1994).
Sow	N	Tuchscherer, G., et al., Journal of Biotechnology, 41(2):197-210 (1995).
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through extation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT APPLICANT Koster, H.

FILING DATE 04/27/98 GROUP 1623

# U.S. PATENT DOCUMENTS

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	AD	1	5	0	7	7	2	1	0	12/31/91	Eigler <i>et al.</i>	435	176	1/13/89	_
	AE	1	5	1	9	8	5	4	0	3/30/93	Koster	536	25.3	6/25/84	
	AF		5	2	2	1	7	3	6	6/22/93	Coolidge et al.	536	25.31	12/21/89	
	AG		5	2	4	6	8	4	0	9/21/93	Nilsson	435	101	11/16/90	
	AH		5	2	6	2	3	3	1	11/16/93	Salisbury et al.	436	86	6/26/91	
	AI	+	5	2	8	8	6	3	7	2/22/94	Roth	435	288	10/2/92	
	AJ	+	5	3	7	4	6	5	5	12/20/94	Kashem et al.	514	540	7/14/92	
	AK	-	5	4	9	2	8	2	1	2/20/96	Callstrom et al.	435	188	11/13/91	
	AL	+	5	5	3	2	1	4	7	7/2/96	Nilsson	435	100	4/6/94	
	AN	-+	5	5	3	9	0	8	3	7/23/96	Cook et al.	530	333	2/23/94	
	AN AN	-+	5	5	4	7	8	3	5	8/20/96	Koster	435	6	1/6/94	
	AC	$\dashv$	5	5	5	2	4	7	1	9/3/96	Woo et al.	524	494	8/17/94	
	AF	-+	5	5	5	2	5	3	5	9/3/96	McLean et al.	536	23.1	11/7/94	
	AC		5	5	7	1	9	0	2	11/5/96	Ravikumar <i>et al.</i>	536	22.1	5/26/94	
	AF	-	5	5	8	3	0	4	2	12/10/96	Roth	435	288.1	3/22/94	
	AS	_	5	6	1	0	2	8	9	3/11/97	Cook et al.	536	25.34	4/7/94	
	-   A	$\dashv$	5	6	1	6	6	9	8	4/1/97	Krepinsky et al.	536	18.6	1/10/94	
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	7 A	$\dashv$	5	6	3	7	7	1	9	6/10/97	Carpino et al.	546	344	6/6/95	
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### U.S. PATENT DOCUMENTS

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DNI/	AZ	5	6	4	1	8	6	2	6/24/97	Rutter et al.	530	334	<b>F</b> 9/9 <b>6</b>
	ВА	5	6	4	4	0	2	9	7/1/97	Carpino	530	333	6/6/95
	BB.	5	6	4	8	4	6	2	7/15/97	Funakoshi <i>et al.</i>	530	344	1/27/95
	вс	5	6	4	8	4	8	0	7/15/97	Letsinger et al.	536	25.34	6/6/95
	BD	5	6	5	2	3	5	8	7/29/97	Pfleiderer et al.	536	25.3	11/3/94
	BE	5	6	6	8	2	6	6	9/16/97	Ruth	536	25.3	5/12/95
	BF	5	6	7	9	7	7	3	10/21/97	Holmes	530	334	1/17/95
	BG	5	7	0	0	9	2	2	12/23/97	Cook	536	23.1	11/24/93
	вн	5	7	0	5	3	3	3	1/6/98	Shah et al.	435	6	8/5/94
	BI	5	7	0	5	6	2	1	1/6/98	Ravikumar	536	23.1	11/17/95
	ВЈ	5	7	1	4	3	3	1	2/3/98	Buchardt <i>et al.</i>	435	6	7/24/96
	ВК	5	7	1	9	2	6	2	2/17/98	Buchardt et al.	530	300	7/24/96
	BL	5	7	2	6	2	4	3	3/10/98	Fields	525	54.11	7/3/96
	ВМ	5	7	3	6	3	3	6	4/7/98	Buchardt et al.	435	6	5/1/97
	BN	5	7	3	6	6	2	5	4/7/98	Callstrom <i>et al.</i>	530	402	6/6/95
	во	5	7	3	6	6	2	6	4/7/98	Mullah <i>et al.</i>	536	25.3	1/29/96

# FOREIGN PATENT DOCUMENTS

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Son.	BP	8	9	1	2	6	2	4	12/28/89	PCT	-		
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Down)	BR	9	8	2	0	1	6	6	5/14/98	PCT			

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Allin, S.M.and Shuttleworth, S.J., "The Preparation and First Application of a Polymer-Supported "Evans" Oxazolidinone", <u>Tetrahedron Lett.</u>, 37(44):8023-8026 (1996)

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	0	THER ART (Including Author	or, Title, Date, Pertinen	t Pages, Etc.)	AH NEW
ZOW.	ВТ	Backes, B.J. <i>et al.</i> , "Activation "Safety Catch" Linker for Solid (1996)	n Method to Prepare a Highl d-Phase Synthesis <sup>1</sup> ", <u>J. Am</u>	y Reactive Acylsu . Chem. Soc., 118	Ifonamide 2 :305 \$3056
1	BU	Batista-Viera, F. et al., "A Nev Biomolecules Based on Solid-P Biotech., 31:175-195 (1991)	hase Bound Thiolsulfonate	mobilization of Thi Groups", <u>Appl. Bio</u>	ol ochem.
	BV	Beaucage, S.L. and Caruthers,	, M.H., "Deoxynucleoside P	hosphoramidites -	A New Class

\/ <i>/</i> /	<i>[U]</i>		(1996)
0		BU	Batista-Viera, F. <i>et al.</i> , "A New Method for Reversible Immobilization of Thiol Biomolecules Based on Solid-Phase Bound Thiolsulfonate Groups", <u>Appl. Biochem.</u> <u>Biotech.</u> , 31:175-195 (1991)
		BV	Beaucage, S.L. and Caruthers, M.H., "Deoxynucleoside Phosphoramidites - A New Class of Key Intermediates for Deoxypolynucleotide Synthesis", <u>Tetrahedron Lett.</u> , 22(20):1859-1862 (1981)
		BW	Beaucage, S.L. and Iyer, R.P., "Advances on the Synthesis of Oligonucleotides by the Phosphoramidite Approach", <u>Tetrahedron</u> , 48(12):2223-2311 (1992)
		вх	Beaucage, S.L. and Iyer, R.P., "The Functionalization of Oligonucleotides via Phosphoramidite Derivatives", <u>Tetrahedron</u> , 49(10):1925-1963 (1993)
		BY	Beaucage, S.L. and Iyer, R.P., "The Synthesis of Modified Oligonucleotides by the Phosphoramidite Approach and Their Applications", <u>Tetrahedron</u> , 49(28):6123-6194 (1993)
		BZ	Beck, S. and Koster, H., "Applications of Dioxetane Chemiluminescent Probes to Molecular Biology", Anal. Chem., 62:2258-2270 (1990)
		CA	Bhargava, K.K. <i>et al.</i> , "Synthesis of a Cyclic Analogue of Oxidized Glutathione by an Intersite Reaction in a Swollen Polymer Network", <u>J. Am. Chem. Soc.</u> , 105:3247-3251 (1983)
<u> </u>		СВ	Biernat, J. et al., "Purification Orientated Synthesis of Oligodeoxynucleotides in Solution", Tetrahedron Lett., 24(8):751-754 (1983)
		СС	Bray, A.M. et al., "Direct Cleavage of Peptides from a Solid Support into Aqueous Buffer. Application in Simultaneous Multiple Peptide Synthesis", <u>J. Org. Chem.</u> , 56:6659-6666 (1991)
-		CD	Brown, B.B. et al., "A single-bead decode strategy using electrospray ionization mass spectrometry and a new photolabile linker: 3-Amino-3-(2-nitrophenyl)propionic acid", Mol. Divers., 1:4-12 (1995)
		CE	Brown, E.L. <i>et al.</i> , "Chemical Synthesis and Cloning of a Tyrosine tRNA Gene", Meth. Enzymol., 68:109-151 (1979)
	D. /	CF	Brown, S.C. et al., "NMR Solution Structure of a Peptide Nucleic Acid Complexed with

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RNA", Science, 265,777-780 (1994)

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		THER ART (Including Author, Title, Date, Pertinent Pages, Etc.)
Coul	CG	Burgess, K. et al., "An Approach to Photolabile, Fluorescent Protecting Groups", 9. Org. Chem., 62:5165-5168 (1997)
<i>S</i>	СН	Cardno, M. and Bradley, M., "A Simple Multiple Release System for Combinatorial Library and Peptide Analysis", <u>Tetrahedron Lett.</u> , 37(1):135-138 (1996)
	CI	Chan, W.C. and Mellor, S.L., "Reductive Alkylation of 9-Amino-xanthen-3-yloxymethylpoly(styrene): a Novel Procedure for the Synthesis of Peptidyl <i>N</i> -Alkyl Amides by Fmoc/Bu <sup>t</sup> Chemistry", J. Chem. Soc. Chem. Commun., 1475-1476 (1995)
	CJ	Cusack, N.J. et al., "Block Synthesis of Oligonucleotides by the Phosphotriester Approach", Tetrahedron Lett., 24:2209-2212 (1973)
	СК	DeGrado, W.F. and Kaiser, E.T., "Polymer-Bound Oxime Esters as Supports for Solid-Phase Peptide Synthesis. Preparation of Protected Peptide Fragments", <u>J. Org. Chem.</u> , 45:1295-1300 (1980)
	CL	Eckstein, F., ed., "Oligonucleotides and Analogues: A Practical Approach", pp. 49-51; 58-59; 137-139; 255-259, IRL Press, Oxford, 1991
	СМ	Entwistle, I.D., "The Use of 2-Nitrophenylpropionic Acid as a Protecting Group for Amino and Hydroxyl Functions to be Recovered by Hydrogen Transfer Reduction", <u>Tetrahedron Lett.</u> , 6:555-558 (1979)
	CN	Fattom, A. et al., "Comparative Immunogenicity of Conjugates Composed of the Staphylococcus Aureus Type 8 Capsular Polysaccharide Bound to Carrier Proteins by Adipic Acid Dihydrazide or N-Succinimidyl-3-(2-Pyridyldithio)propionate", Infection and Immun., 60(2):584-589 (1992)
	со	Frechet, J.M.J. and Nuyens, L.J., "Use of Polymers as Protecting Groups in Organic Synthesis. III. Selective Functionalization of Polyhydroxy Alcohols", <u>Can. J. Chem.</u> , 54:926-934 (1976)
	СР	Froehler, B.C., "Deoxynucleoside H-Phosphonate Diester Intermediates in the Synthesis of Internucleotide Phosphate Analogues", <u>Tetrahedron Lett.</u> , 27(46):5575-5578 (1986)
	ca	Froehler, B.C. and Matteucci, M.D., "Nucleoside H-Phosphonates: Valuable Intermediates in the Synthesis of Deoxyoligonucleotides", <u>Tetrahedron Lett.</u> , 27(4):469-472 (1986)
	CR	Froehler, B.C. and Matteucci, M.D., "Substituted 5-Phenyltetrazoles: Improved Activators of Deoxynucleoside Phosphoramidites in Deoxyoligonucleotide Synthesis", <u>Tetrahedron Lett.</u> , 24(31):3171-3174 (1983)
ZOW/	cs	Gait, M.J., ed., "Oligonucleotide Synthesis: A Practical Approach", IRL Practical Approach Series, IRL Press, Oxford, 1984

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T Garegg, P.J. et al., "Nucleoside H-Phosphonates. III. Chemical Synthesis of

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Zo)	w	СТ	Garegg, P.J. <i>et al.</i> , "Nucleoside H-Phosphonates. III. Chemical Synthesis of Oligodeoxyribonucleotides by the Hydrogenphosphonate Approach", <u>Tetrahedron Lett.</u> , 27(34):4051-4054 (1986)
01		CU	Gayo, L.M. and Suto, M.J., "Traceless Linker: Oxidative Activation and Displacement of a Sulfur-Based Linker", Tetrahedron Lett., 38(2):211-214 (1997)
		CV	Goldmacher, V.S. et al., "Photoactivation of Toxin Conjugates", <u>Bioconj. Chem.</u> , 3:104- 107 (1992)
		cw	Greene, T.W. "Protective Groups in Organic Synthesis", John Wiley and Sons, Inc., New York, 1981
		CX	Han, Y. et al., "Silicon Directed ipso-Substitution of Polymer Bound Arylsilanes: Preparation of Biaryls via the Suzuki Cross-Coupling Reaction", Tetrahedron Lett., 37(16):2703-2706 (1996)
		CY	Hazum, E. et al., "A Photocleavable Protecting Group for the Thiol Function of Cysteine", Pept., Proc. Eur. Pept. Symp., 16th, Brunfeldt, K. (ed.), pp 105-110, 1981
		CZ	Hermanson, G.T. "Bioconjugate Techniques", Academic Press, Inc., 1996
		DA	"IUPAC-IUB Commission on Biochemical Nomenclature Symbols for Amino-Acid Derivatives and Peptides Recommendations (1971)", <u>Biochem.</u> , 11(9):1726-1732 (1972)
		DB	Jones, R.A. et al., "Use of the Lipophilic tert-Butyldiphenylsilyl Protecting Group in Synthesis and Rapid Separation of Polynucleotides", <u>Biochem.</u> , 17(7):1268-1277 (1978)
		DC	Jurinke, C. et al., "Recovery of Nucleic Acids from Immobilized Biotin-Streptavidin Complexes using Ammonium Hydroxide and Applications in MALDI-TOF Mass Spectrometry", Anal. Chem., 69:904-910 (1997)
		DD	Jurinke, C. et al., "Analysis of Ligase Chain Reaction Products via Matrix-Assisted Laser Desorption / Ionization Time-of-Flight- Mass Spectrometry", Anal. Biochem., 237:174-181 (1996)
		DE	Jurinke, C. et al., "Detection of Hepatitis B Virus DNA in Serum Samples via Nested PCR and MALDI-TOF Spectrometry", Genetic Anal: Biomol. Engg., 13:67-71 (1996)
		DF	Kaldor, S.W. <i>et al.</i> , "Use of Solid Supported Nucleophiles and Electrophiles for the Purification of Non-Peptide Small Molecule Libraries", <u>Tetrahedron Lett.</u> , 37(40):7193-7196 (1996)
		DG	Khorana, H.G., "Total Synthesis of a Gene", Science, 203:614-625 (1979)
Za		DH	to the support in the Synthesis of DNA of Biological Interest",
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FORM PTO-1449 (Modified)			ATTY. DOCKET NO. 24743-2301	SERIAL NO. 09/067,337	
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Zau	/ DI	Koster, H. <i>et al.</i> , "N-Acyl Prote Comparative Study", <u>Tetrahedr</u>	cting Groups for Deoxynucle		rn O
	DJ	Koster, H. <i>et al.</i> , "Polymer Sup Oligodeoxynucleotides on Cont Phosphotriester Approach", <u>Te</u>	port Oligonucleotide Synthes rolled Pore Glass (CPG) usin	g Phosphate and a	s of a new
	DK	Krchnak, V. et al., "Structurally Homogeneous and Heterogeneous Synthetic Combinatorial Libraries", Mol. Divers., 1:149-164 (1995)			
	DL	Kumar, G. and Poonian, M.S., "Improvements in Oligodeoxyribonucleotide Synthesis: Methyl <i>N,N</i> -Dialkylphosphoramidite Dimer Units for Solid Support Phosphite Methodology", <u>J. Org. Chem.</u> , 49:4905-4912 (1984)			
	DM	Leznoff, C.C. and Wong, J.Y., "The Use of Polymer Supports in Organic Synthesis. The Synthesis of Monotrityl Ethers of Symmetrical Diols", <u>Can. J. Chem.</u> , 50:2892-2893 (1972)			
	DN	Lloyd-Williams, P. et al., "Convergent Solid-Phase Peptide Synthesis", <u>Tetrahedron</u> , 49(48):11065-11133 (1993)			
	DO	Lorsbach, B.A. et al., "Reissert-Based "Traceless" Solid-Phase Synthesis: Isoquinoline, and Isoxazoline-Containing Heterocycles", <u>J. Org. Chem.</u> , 61:8716-8717 (1996)			
	DP	Maeji, N.J. et al., "Multi-pin Peptide Synthesis Strategy for T Cell Determinant Analysis", J. Immunol. Meth., 134:23-33 (1990)			ant Analysis",
	DQ	Manoharan, M. et al., "A 2'-O-thiol Tether in the Ribose Moiety of Nucleic Acids for Conjugation Chemistry", Gene, 149:147-156 (1994)			cids for
	DR	McCray, J.A. and Trentham, D.R., "Properties and Uses of Photoreactive Caged Compounds", <u>Annu. Rev. Biophys. Biophys. Chem.</u> , 18:239-270 (1989)			
	DS Meltzer, A.D. <i>et al.</i> , "Chain Dynamics in Poly(amido amine) Dendrimers. A Study of NMR Relaxation Parameters", <u>Macromol.</u> , 25:4541-4548 (1992)				
	DT	36(14):1923-1937 (1993)			
	DU	Morphy, J.R. <i>et al.</i> , "A Novel Linker Strategy for Solid-Phase Synthesis", <u>Tetrahedron Lett.</u> , 37(18):3209-3212 (1996)			
	DV	Mullis, K.B., "The Polymerase Engl., 33:1209-1213 (1994)	(German Version: Angew.	Chem., 106:1271	-1276 (1994))
Qu.	/ DW	Mullis, K.B. and Faloona, F.A. Catalyzed Chain Reaction", M	., "Specific Synthesis of DNA leth. Enzymol., 155:335-350	A <i>in Vitro</i> via a Po ) (1987)	lymerase- 
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Qou V	DX	Newkome, G.R. and Lin, X., "S Polymers", <u>Macromol.</u> , 24:144	ymmetrical, Four-Directional		
0	DY	Newkome, G.R. <i>et al.</i> , "Polytry <u>Tetrahedron: Asymm.</u> , 2(10):9	ptophane Terminated Dendri 57-960 (1991)	tic Macromolecules",	
	DZ	Newkome, G.R. <i>et al.</i> , "Building 25(2):31-38 (1992)	g Blocks for Dendritic Macro	molecules", <u>Aldrichim. Acta</u> ,	
	EA	EA Newlander, K.A. et al., "Simple Silyl Linker for the Solid Phase Organic Synthesis of Containing Molecules", J. Org. Chem., 62:6726-6732 (1997)			
	EB Nielsen, P.E. et al., "Sequence-Selective Recognition of DNA by Strand Displace with a Thymine-Substituted Polyamide", <u>Science</u> , 254:1497-1500 (1991)				
	EC	EC Norton, J.C. et al., "Targeting Peptide Nucleic Acid-Protein Conjugates to Structural Features within Duplex DNA", Bioorg. Med. Chem., 3(4):437-445 (1995)			
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	EE	Amides by Boc / Fmoc Strategy", <u>Tetrahedron Lett.</u> , 32(31):3891-3894 (1991)			
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